

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Sullivan, et al

**Attorney Docket: Tie Tek-001**

Serial No.: 09/800,1

**Examiner:**

Filed: 5 March 2001

Art Unit:

For: *New & Improved Railroad Tie & Method for Making Same*

**RESPONSE TO NOTICE OF INCOMPLETE REPLY (NON-PROVISIONAL)**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply (Non-Provisional) mailed 8 November 2001, please find the following:

- A Copy of the Notice of Incomplete Reply, filing date granted (Part 2); and
- Ten (10) Pages of Formal Drawings, in compliance with 37 C.F.R. § 1.84

In accordance with 37 C.F.R. § 1.136(a), Applicants respectfully request a one (1) month Extension of Time for reply to the Notice of Incomplete Reply, dated 8 November 2001..

A check in the amount of \$260.00 is enclosed for the Extension of Time, and the Commissioner is hereby authorized to charge any deficiencies or credit any over payment in connection with this Response to USPTO deposit account number 13-2166.

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2. 250.00.00

02/24/50... EX-101 0000116 05306171

235.00 57

11/13/01  
Date

Respectfully submitted,



William E. Johnson, Jr.

Reg. # 22,719

THE MATTHEWS FIRM

1900 West Loop South, Suite 1800

Houston, Texas 77027

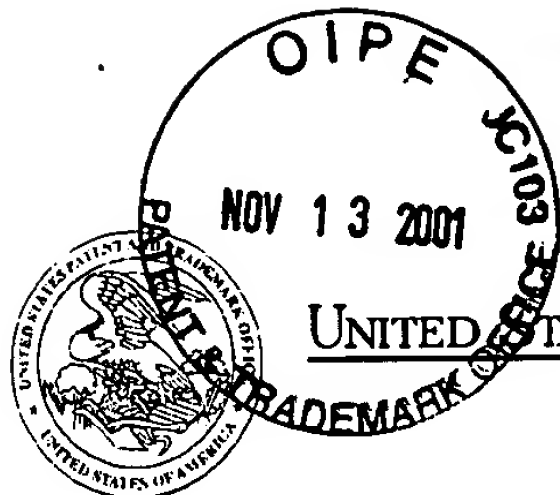
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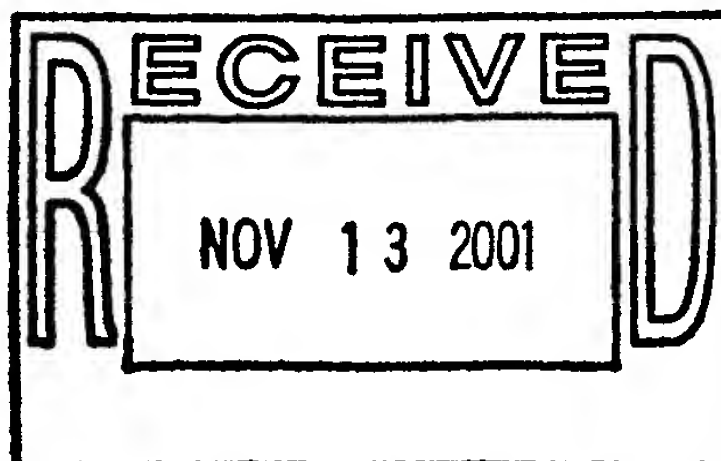


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,171	03/05/2001	Henry W. Sullivan	Tie Tek-001

THE MATTHEWS FIRM  
1900 West Loop South, Suite 1800  
Houston, TX 77027



CONFIRMATION NO. 3592

FORMALITIES LETTER



\*OC000000007042158\*

Date Mailed: 11/08/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 10/12/2001 to the Notice to File Missing Parts (Notice) mailed 04/13/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/04/2001 11:00 AM

12-10-010300\$

NOV 13 2001

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
Tie Tek-001

In Re Application Of Sullivan, et al

Serial No. 09/800,171	Filing Date 5 March 2001	Examiner	Group Art Unit
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Title:  
New & Improved Railroad Tie & Method for Making Same

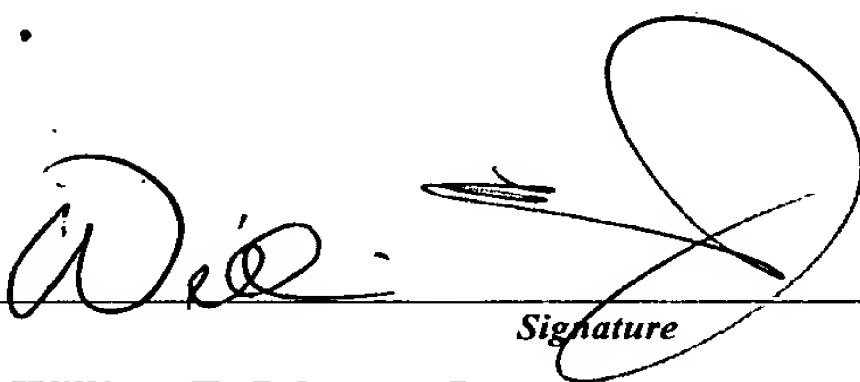
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Return Postal Card & Check # 15241, in the amount of \$260.00 (extension of time fee);  
Certificate of Express Mailing (# EL 921419441 US);  
Response to Notice of Incomplete Reply; and  
A Copy of the Notice of Incomplete Reply (Part 2) and Ten (10) Pages of Formal Drawings.

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$260.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-2166 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: 11/13/01

William E. Johnson, Jr.  
Reg. # 22,719  
THE MATTHEWS FIRM  
1900 West Loop South, Suite 1800  
Houston, Texas 77027  
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713-355-4200 Telephone  
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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Sullivan, et al

Docket No.

Tie Tek-001

Serial No.

09/800,171

Filing Date

5 March 2001

Examiner

Group Art Unit

Invention:

New &amp; Improved Railroad Tie &amp; Method for Making Same

NOV 13 2001

I hereby certify that the following correspondence:

Transmittal Letter (General - Patent Pending) and all documents referenced therein

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

13 November 2001*(Date)*Matt Robinson*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL 921419441 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**